

# Application of Diffusional Kurtosis to Modeling of the Cerebral Microenvironment

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**Purpose:** The biophysical interpretation of bulk diffusion MRI (dMRI) metrics remains challenging due to the complexity of neural tissue. One approach is to exploit the links between cytoarchitecture and the non-Gaussianity of water diffusion, which may be estimated with diffusional kurtosis imaging (DKI)<sup>1</sup>. In this work, a previously proposed method<sup>2</sup> is generalized so that specific microstructural properties of the entire brain parenchyma may be obtained with DKI. This method is termed cerebral microenvironment modeling (CMM).

**Methods:** Theory CMM idealizes neural tissue as consisting of two non-exchanging compartments, a non-Gaussian confined- (CC) and Gaussian open- (OC) compartment. The CC represents water confined within neurites that are idealized as infinitely long, narrow cylinders. The OC represents all other water that yields a detectable signal and is fully characterized by its diffusion tensor,  $\mathbf{D}^{OC}$ . The non-Gaussianity of the CC stems from a probability distribution of neurite orientations, denoted by  $F(\mathbf{n})$  for neurite aligned along a direction  $\mathbf{n}$ . The diffusion tensor (DT) for CC is  $\mathbf{D}^{CC} = \int d\Omega F(\mathbf{n}) \mathbf{D}^*(\mathbf{n})$ , where  $\mathbf{D}^*(\mathbf{n}) \equiv \mathbf{R}_x(\mathbf{n}) \cdot \Lambda^* \cdot \mathbf{R}_x^T(\mathbf{n})$  is the subcomponent DT of a neurite,  $\mathbf{R}_x(\mathbf{n})$  is a rotation matrix, and  $\Lambda^*$  is defined as  $\Lambda_{11}^* = \lambda_{||}^*$  (intrinsic neurite diffusivity) and zero otherwise. The DT for the full system (OC+CC) is  $\mathbf{D} = f\mathbf{D}^{CC} + (1-f)\mathbf{D}^{OC}$ , where  $f$  is the CC water proton fraction, and its associated directional diffusivity in direction  $\mathbf{m}$  is  $D(\mathbf{m}) = \mathbf{m} \cdot \mathbf{D} \cdot \mathbf{m}$ . The kurtosis for CC is approximated by a directionally averaged value that should satisfy the explicit formula:  $K_{CC} = [12(\lambda_1^{CC}\lambda_2^{CC} + \lambda_1^{CC}\lambda_3^{CC} + \lambda_2^{CC}\lambda_3^{CC})]/[D_{CC}^2 + 2\sum_{i=1}^3(\lambda_i^{CC})^2]$  (1), with  $\lambda_i^{CC}$  being the eigenvalues of  $\mathbf{D}^{CC}$  and  $D_{CC} = \text{Tr}(\mathbf{D}^{CC})$ . Notice that  $K_{CC} = 2.4$  for isotropic distribution of neurites, and  $K_{CC} = 0$  for perfectly aligned neurites. Using  $D(\mathbf{m})$  and the corresponding directional  $K(\mathbf{m})$  to solve for  $D^{CC}(\mathbf{m})$  yields

$$D^{CC}(\mathbf{m}) = \frac{D(\mathbf{m})}{1+(1-f)K_{CC}/3} \left[ 1 - \sqrt{\frac{1-f}{3f}} \sqrt{K(\mathbf{m}) - fK_{CC} + \frac{(1-f)K(\mathbf{m})K_{CC}}{3}} \right]. \quad (2)$$

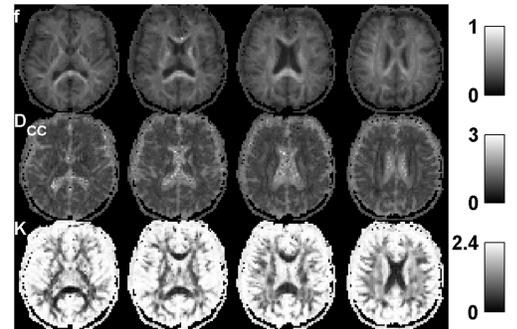
Algorithm  $D^{CC}(\mathbf{m})$  can then be calculated from  $D(\mathbf{m})$ ,  $K(\mathbf{m})$ ,  $K_{CC}$  and  $f$ . Since  $D(\mathbf{m})$  and  $K(\mathbf{m})$  can be measured with DKI<sup>3</sup>, a set of viable solution candidates that depend on  $f$  and  $K_{CC}$  can then be generated. These must satisfy the bounds  $K_{\max}/(3 + K_{\max}) \leq f \leq 1$  and  $0 \leq K_{CC} \leq 2.4$ , respectively, where  $K_{\max}$  is the maximum of  $K(\mathbf{m})$  over all possible directions. A subset of viable solution candidates can be selected that minimizes  $C_1 \equiv |K_{CC} - [12(\lambda_1^{CC}\lambda_2^{CC} + \lambda_1^{CC}\lambda_3^{CC} + \lambda_2^{CC}\lambda_3^{CC})]/[D_{CC}^2 + 2\sum_{i=1}^3(\lambda_i^{CC})^2]|$  so that Eq. (1) is satisfied as well as possible. From the  $C_1$ -minimized subset of solutions, a single best solution is chosen that minimizes  $C_2 \equiv \sum_{j=1}^N |S_{\text{exp}}(\mathbf{g}_j)/S_{\text{exp}}(0) - S_{\text{CMM}}(\mathbf{g}_j)|/N$ , where  $S_{\text{exp}}(\mathbf{g}_j)$  is the measured dMRI signal for a diffusion gradient encoding vector  $\mathbf{g}_j$  and  $S_{\text{CMM}}(\mathbf{g}_j) = f \exp[-\mathbf{g}_j^T \mathbf{D}^{CC} \mathbf{g}_j + (\mathbf{g}_j^T \mathbf{D}^{CC} \mathbf{g}_j)^2 K_{CC}/6] + (1-f) \exp[-\mathbf{g}_j^T \mathbf{D}^{OC} \mathbf{g}_j]$  is the predicted signal for the model.

Experiment and post-processing A healthy normal adult volunteer was scanned on a 3T Siemens TIM Trio scanner. DW images (DWIs) were acquired with 4 b-values (1000, 2000, 3000, 4000 s/mm<sup>2</sup>) along 64 directions using TR/TE = 6300/125 ms, matrix = 82x82, resolution of 3x3x3 mm<sup>3</sup>, BW/pixel = 1351 Hz. Diffusion and kurtosis tensors were calculated from DWIs up to a b-value of 2000 s/mm<sup>2</sup> using DKE<sup>3</sup>. CMM parameters were computed using C and MATLAB programs.

**Results and Discussion:** Fig.1 shows the maps of  $f$ ,  $D_{CC}$  and  $K_{CC}$ . White (WM) and gray (GM) matter measurements of the CMM parameters are tabulated in Table 1. Pixels with FA > 0.3 and mean kurtosis > 1.0 were considered as WM, and GM otherwise after removing CSF with MD < 2.0. In human brain, axons occupy about 44% of WM volume<sup>4</sup>, which is similar to the neurite density of 0.46 estimated by CMM. On the other hand, 60% of GM is composed of axons and dendrites in equal proportion<sup>5</sup>. As dendrites are expected to have longer exchange times due to their size, the  $f$  in GM may be mainly attributable to the water confined in dendrites. Fig.2 illustrates the fidelity of CMM prediction as compared to  $S_{\text{exp}}$  for various b-values. The slope (m) and correlation coefficient (r) of linear regression at the corresponding b-value are also shown. We note the robustness of the CMM predictions in view of the fact that its parameters were estimated from  $S_{\text{exp}}$  only up to b-value of 2000 s/mm<sup>2</sup>. In conclusion, we have proposed a new method which allows specific microstructural properties of the entire brain to be obtained.

**References** 1. Jensen and Helpert. *NMR in Biomedicine*. 2010;23:698-710. 2. Fieremans et al. *NeuroImage*. 2011;58:177-188. 3. Tabesh et *MRM*. 2011;65:823-836. 4. Beiu et al. In: Schmid et al, eds. Vol 20: Springer Berlin Heidelberg; 2009:231-241. 5. Laughlin and Sejnowski. *Science*. 2003;301(5641):1870-1874.

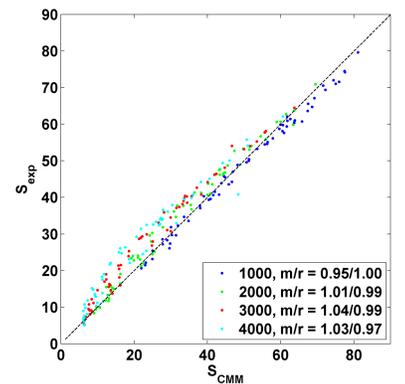
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**Fig. 1** Maps of CMM parameters: neurite density ( $f$ ), intra-neurite diffusivity ( $D_{CC}$ ) and intra-neurite diffusional kurtosis ( $K_{CC}$ ).

**Table 1.** Measurement of CMM parameters

	$f$	$D_{CC}$	$K_{CC}$
<b>WM</b>	$0.46 \pm 0.11$	$1.03 \pm 0.33$	$0.86 \pm 0.36$
<b>GM</b>	$0.27 \pm 0.11$	$0.97 \pm 0.40$	$1.50 \pm 0.59$



**Fig. 2** CMM ( $S_{\text{CMM}}$ ) prediction versus measured dMRI ( $S_{\text{exp}}$ ) for various b-values. m and r are the slope and correlation coefficient of linear regression, respectively at the corresponding b-value.