

DESIGNING FAST 3-D RF EXCITATIONS BY OPTIMIZING THE NUMBER, PLACEMENT AND WEIGHTING OF SPOKES IN k -SPACE VIA A SPARSITY-ENFORCEMENT ALGORITHM

A. C. Zelinski¹, K. Setsompop¹, V. K. Goyal¹, V. Alagappan², U. Fontius³, F. Schmitt³, L. L. Wald^{2,4}, and E. Adalsteinsson^{1,4}

¹Department of Electrical Engineering and Computer Science, MIT, Cambridge, MA, United States, ²A. A. Martinos Center for Biomedical Imaging, Massachusetts General Hospital, Harvard Medical School, Charlestown, MA, United States, ³Siemens Medical Solutions, Erlangen, Germany, ⁴Harvard-MIT Division of Health Sciences and Technology, MIT, Cambridge, MA, United States

INTRODUCTION: Playing sinc-like RFs in the presence of slice-selective gradient trajectories is useful for exciting a thin slice in z and is analogous to placing sinc-like “spokes” along k_z in excitation k -space. Recently, the use of multiple complex-weighted spokes at different locations in the (k_x, k_y) plane has led to RF pulses that mitigate B_1 -inhomogeneity in single-coil excitation systems [1] and reduce excitation time (TE) on multi-channel systems [2]. While placing a tall spoke in k -space does indeed significantly increase pulse duration and minimum TE, it is a necessary tradeoff to ensure a sharp slice profile with low sidelobes. Because of this high temporal cost per spoke, an *ideal* thin-slice design would be one that used very few spokes while achieving a user-specified excitation in the (x,y) plane with high-fidelity. To achieve this, we propose an algorithm that optimizes the number, placement and weighting of spokes, based on sparse approximation theory. First we show the theory encompasses RF design for multi-channel excitation systems, with single-channel systems as a base case. We then show the method generates fast, high-fidelity slice-selective pulses, achieving near-optimal tradeoff of TE & excitation fidelity. Experiments conducted in a phantom on a 3T Siemens Magnetom TRIO with an 8-channel parallel TX array show the algorithm’s advantages over traditional (k_x, k_y) spoke placement patterns.

METHODS AND RESULTS: **Sparse approximation (SA).** The goal of SA is to find a vector or matrix of unknowns with a

small number of nonzero elements such that a system of equations approximately holds, e.g., $\mathbf{m} = \sum_{p=1}^P \mathbf{F}_p \boldsymbol{\phi}_p + \mathbf{n}$,

where $\mathbf{m}, \mathbf{n} \in \mathbb{C}^M$, $\mathbf{F}_p \in \mathbb{C}^{M \times N}$, $\boldsymbol{\phi}_p \in \mathbb{C}^N$, and $N > M$. This problem is ill-posed because there are infinitely many choices of $\boldsymbol{\phi}_p$ vectors that solve it. But consider enforcing *sparsity* on the $\boldsymbol{\phi}_p$, requiring the l_1 -norm of each to be small, which is similar to requiring many elements of each $\boldsymbol{\phi}_p$ to equal zero [3]. Suppose we further constrain the $\boldsymbol{\phi}_p$, requiring them to be *simultaneously sparse*: each of the $\boldsymbol{\phi}_p$ must have nonzeros occurring at a similar set of indices. With such requirements, the problem is no longer ill-posed. Letting $\Phi = [\boldsymbol{\phi}_1, \dots, \boldsymbol{\phi}_P]$, a program that finds a simultaneously sparse set of $\boldsymbol{\phi}_p$ and

approximately yields \mathbf{m} is as follows: $\min_{\Phi} (1-\lambda) \left\| \mathbf{m} - \left(\sum_{p=1}^P \mathbf{F}_p \boldsymbol{\phi}_p \right) \right\|_2 + \lambda \|\Phi\|_s$, where the second term, $\|\Phi\|_s$,

is the l_1 -norm of the l_2 -norms of the rows of Φ , a *simultaneous sparsity norm* that penalizes (rewards) the program when the columns of Φ have dissimilar (similar) sparsity profiles. The first term keeps the residual error down. As λ increases from 0 to 1, sparser solutions are generated while the residual error increases, i.e., λ trades off sparsity with residual error. There exists an optimal solution Φ^* that attains the global minimum. Φ^* may be computed via a Second-Order Cone program. Refer to [3,4] for more details.

Proposed algorithm. Our goal is to excite a thin, sharp slice that approximately equals a user-specified target excitation $m(x,y)$ at $z=z_0$ and zero at $z \neq z_0$. To accomplish this, we must decide on a number of spokes to use, their locations in (k_x, k_y) , and weights for each. Using spokes in k_z will let us obtain a thin slice in z . But achieving the in-slice target $m(x,y)$ is more complicated: ideally, many weighted spokes would be placed in (k_x, k_y) such that $m(x,y)$ was almost exactly achieved, but this would require many spokes and result in a long TE. To keep TE short, we must use a *small* number of spokes, but with few spokes, achieving $m(x,y)$ becomes difficult. Let us define \mathbf{m} to be vector of spatial samples of the $m(x,y,z_0)$ excitation in some region of interest (ROI).

Analogy between spokes and Diracs in 2-D Fourier domain. Placing a spoke in (k_x, k_y) with some arbitrary complex weight ϕ is analogous to placing a weighted-Dirac delta, $\phi \delta(k_x, k_y)$ in the 2-D Fourier domain. Since spokes are expensive in terms of pulse duration, each δ is also expensive. Using this analogy, our goal is now: using a small number of complex-weighted δ 's in 2-D Fourier space, ensure that their 2-D Fourier transform is close to $m(x,y)$ at all points in the region of interest.

Base case ($P = 1$) formulation. Assume a finite grid of discrete points exists in (k_x, k_y) . Each point is a Dirac delta that produces a complex exponential in the spatial domain. An arbitrary choice of complex weights at different points on the grid results in a spatial domain excitation related to the weighted grid by a Fourier transform. Arranging the complex weights of this grid into the vector $\boldsymbol{\phi}_1$, the following holds: $\mathbf{r} = \mathbf{D}_1 \mathbf{A} \boldsymbol{\phi}_1 = \mathbf{F}_1 \boldsymbol{\phi}_1$, where \mathbf{r} is a vector of spatial samples of the resulting (x,y,z_0) excitation in the ROI, \mathbf{D}_1 is a diagonal matrix of samples of the coil sensitivity pattern in the ROI, and $\mathbf{A}_{m,n} = \exp(j2\pi k_x[n]x[m] + k_y[n]y[m])$ [5]. If the n -th element of $\boldsymbol{\phi}_1$ is nonzero, this corresponds to a spoke at $(k_x(n), k_y(n))$. Thus, a *sparse* $\boldsymbol{\phi}_1$ that results in an \mathbf{r} close to \mathbf{m} is ideal: it implies a short, high-fidelity excitation.

Extension to parallel systems ($P > 1$). For parallel systems, the formulation extends as follows: $\mathbf{r} = \mathbf{D}_1 \mathbf{A} \boldsymbol{\phi}_1 + \dots + \mathbf{D}_P \mathbf{A} \boldsymbol{\phi}_P = \mathbf{F}_1 \boldsymbol{\phi}_1 + \dots + \mathbf{F}_P \boldsymbol{\phi}_P$, with the constraint that the $\boldsymbol{\phi}_p$ must be simultaneously sparse, which physically means that the RF pulses along each of the P coils (the $b_{1,p}(t)$ waveforms) must each play along the *same* k -space trajectory. This constraint arises because the system’s set of gradients determines a *unique* k -space trajectory $k(t)$. If the $\boldsymbol{\phi}_p$ were not simultaneously sparse, it would imply the RFs are concurrently played along P *different* k -space trajectories, which is not possible.

Step I: determine spoke locations. Using as few points on the frequency grid as possible, we want to attain the user-specified \mathbf{m} within the thin-slice, i.e., we want to

find a *simultaneously sparse* Φ matrix such that the residual error term $\|\mathbf{m} - \mathbf{r}\|_2 = \left\| \mathbf{m} - \left(\sum_{p=1}^P \mathbf{F}_p \boldsymbol{\phi}_p \right) \right\|_2$ is small. Finding this Φ is accomplished by fixing λ and

solving the optimization program above. With the proper choice of λ , a simultaneously sparse, globally optimal Φ matrix is found that keeps the residual error down.

Step II: keep T spokes and determine PT weights. Since each row of Φ that contains nonzeros corresponds to a spoke that must be traversed in k -space, we zero out all but T rows of Φ , keeping those with the largest l_2 -energy. Thus, T is a control parameter explicitly trading off the number of spokes, and hence TE, with excitation

fidelity. Since all but T rows of Φ equal zero, the affine system of equations is now reduced to $\mathbf{r} = \sum_{p=1}^P \mathbf{F}_{T,p} \boldsymbol{\phi}_{T,p}$, where each $\mathbf{F}_{T,p}$ is a truncated matrix whose T

columns correspond to the T columns of \mathbf{F}_p that remain after discarding all but T rows of Φ . Now, Φ_T is recalculated to attain an excitation closer to \mathbf{m} , i.e., the weights at each of the T spoke locations are returned for each of the P coils in a least-squares sense via the pseudo-inverse of $[\mathbf{F}_{T,1}, \dots, \mathbf{F}_{T,P}]$.

Step III: generate an RF pulse set. At this point, T spokes have been placed in k -space at points on the (k_x, k_y) grid implicitly defined by the $\boldsymbol{\phi}_p$. Further, P weights have been determined for each spoke (one per excitation coil). With this information, a set of RF pulses may be designed using the method in [2].

Results. The proposed method was compared to a non-optimized spoke placement on a grid within a fixed radius. Experiments were conducted in a phantom on a 3T Siemens Magnetom TRIO equipped with an 8-channel TX array. Each method used 20 spokes and both attempted to excite the dual-phase bifurcation target shown above. In the upper right, the spoke placement in (k_x, k_y) used by the traditional method, the optimized spoke placement calculated by the proposed technique, and each method’s resulting excitations are depicted. The proposed algorithm’s near-optimal spoke placement led to an excitation closely resembling the target. For each design, TE was ~ 10 ms and slice thickness was 1 cm. Because both methods used the same sinc-like spokes in k_z , they exhibited equivalent slice-selectivity performance.

CONCLUSION: An algorithm that optimized the number, placement and weighting of spokes in k -space was presented and extended from single- to multi-channel TX systems. An experiment using an 8-channel TX array on a Siemens Magnetom TRIO showed that the proposed algorithm outperformed a non-optimized technique.

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